



Form No. MGT-9

EXTRACT OF ANNUAL RETURN

As on the financial year ended on 31/03/2019

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS :

i) CIN	L51100GJ2005PLC046562
ii) Registration Date	05/08/2005
iii) Name of the Company	GAUTAM EXIM LIMITED
iv) Category / Sub-Category of the Company	Limited company Limited by shares Company having share capital
v) Address of the Registered office and contact details	C7/57 59 MIRGASIR COMPLEXOPP ADVANCE COMPLEX N, H NO 8 GIDC, VAPI - 396195 Gujarat Telephone : 0260 2432998 Fax Number : 91-2602431988 Email : grrpl1850@gmail.com Web : www.gautamexim.com
vi) Whether listed company	Listed(Yes) (SME Stock Exchange)
vii) Name, Address and Contact details of Registrar and Transfer Agent, if any	BIGSHARE SERVICES PRIVATE LIMITED 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri (East), Mumbai – 400 059

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY :

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Trading	5110	96.20

1

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES :

111

S.No	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
Nil					

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity) :

i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									
a) Individual/HUF	2158425	0	2158425	70.06	2158425	0	2158425	70.06	0
b) Central Govt	0	0	0	0	0	0	0	0	0
c) State Govt (s)	0	0	0	0	0	0	0	0	0



d) Bodies Corp.	0	0	0	0	0	0	0	0	0
e) Banks / FI	0	0	0	0	0	0	0	0	0
f) Any Other....	88200	0	88200	2.86	88200	0	88200	2.86	0
Sub-total (A) (1):-	2246625	0	2246625	72.92	2246625	0	2246625	72.92	0
(2) Foreign	0	0	0	0	0	0	0	0	0
a) NRIs - Individuals	0	0	0	0	0	0	0	0	0
b) Other – Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corp.	0	0	0	0	0	0	0	0	0
d) Banks / FI	0	0	0	0	0	0	0	0	0
e) Any Other....	0	0	0	0	0	0	0	0	0
Sub-total (A) (2):-	0	0	0	0	0	0	0	0	0
Total shareholding of Promoter (A) = (A)(1)+(A)(2)	2246625	0	2246625	72.92	2246625	0	2246625	72.92	0
B. Public Shareholding	0	0	0	0	0	0	0	0	0
1. Institutions	0	0	0	0	0	0	0	0	0
a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks / FI	0	0	0	0	0	0	0	0	0
c) Central Govt	0	0	0	0	0	0	0	0	0
d) State Govt(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (specify)	0	0	0	0	0	0	0	0	0
Sub-total (B)(1):-	0	0	0	0	0	0	0	0	0
2. Non-Institutions	0	0	0	0	0	0	0	0	0
a) Bodies Corp.									
i) Indian	336000	0	336000	10.91	499000	0	499000	16.20	+5.29
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	156375	0	156375	5.07	146375	0	146375	4.75	-0.32
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh "	288000	0	288000	9.35	186000	0	186000	6.04	-3.31
c) Others (specify)-HUF	54000	0	54000	1.75	3000	0	3000	0.10	-1.65
Sub-total (B)(2):-	834375	0	834375	27.08	834375	0	834375	27.08	0
Total Public Shareholding (B)=(B)(1)+(B)(2)	834375	0	834375	27.08	834375	0	834375	27.08	0
C. Shares held by Custodian for GDRs & ADRs	0	0	0	0	0	0	0	0	0
Grand Total (A+B+C)	3081000	0	3081000	100.00	3081000	0	3081000	100.00	0



(ii) Shareholding of Promoters

Sl No.	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	% change in share holding during the year
1	Balasubramanian Raman	1168650	37.93	0	1168650	37.93	0	0
2	Nagalaxmi Balasubramanian	989775	32.13	0	989775	32.13	0	0
3	Gautam Balasubramanian	44100	1.43	0	44100	1.43	0	0
4	Rajlaxmi Balasubramanian	44100	1.43	0	44100	1.43	0	0

(iii) Change in Promoters' Shareholding (please specify, if there is no change)

Sl. No.	Name	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of Shares	% of total Shares of the company	No. of Shares	% of total Shares of the company
1	Balasubramanian Raman	At the beginning of the year	1168650	37.93	-	-
		At the End of the year	-	-	1168650	37.93
2	Nagalaxmi Balasubramanian	At the beginning of the year	989775	32.12	-	-
		At the End of the year	-	-	989775	32.12
3	Gautam Balasubramanian	At the beginning of the year	44100	1.43	-	-
		At the End of the year	-	-	44100	1.43
4	Rajlaxmi Balasubramanian	At the beginning of the year	44100	1.43	-	-
		At the End of the year	-	-	44100	1.43

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	Name	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of Shares	% of total Shares of the company	No. of Shares	% of total Shares of the company
1	Pantomath Stock Brokers Private Limited	At the beginning of the year	2,34,000	7.59	2,34,000	7.59
		06-04-2018 - Transfer	64,000	2.08	2,98,000	9.67
		13-04-2018 - Transfer	75,000	2.43	3,73,000	12.11
		20-04-2018 - Transfer	9,000	0.29	3,82,000	12.40
		04-05-2018 – Transfer	6,000	0.19	3,88,000	12.59
		18-05-2018 – Transfer	12,000	0.39	4,00,000	12.98
		25-05-2018 – Transfer	3,000	0.10	4,03,000	13.08
		08-06-2018 – Transfer	3,000	0.10	4,06,000	13.18
		29-06-2018 – Transfer	6,000	0.19	4,12,000	13.37



		30-06-2018 – Transfer	15,000	0.49	4,27,000	13.86
		06-07-2018 – Transfer	39,000	1.27	4,66,000	15.13
		20-07-2018 – Transfer	(33,000)	(1.08)	4,33,000	14.05
		17-08-2018 – Transfer	15,000	0.49	4,48,000	14.54
		24-08-2018 – Transfer	(13,000)	(0.42)	4,35,000	14.12
		29-08-2018 - Transfer	3,000	0.10	4,38,000	14.22
		14-09-2018 – Transfer	15,000	0.48	4,53,000	14.70
		21-09-2018 – Transfer	(9,000)	(0.29)	4,44,000	14.41
		28-09-2018 – Transfer	3,000	0.10	4,47,000	14.51
		29-09-2018 – Transfer	(6,000)	(0.20)	4,41,000	14.31
		05-10-2018 – Transfer	(13,000)	(0.42)	4,28,000	13.89
		12-10-2018 – Transfer	13,000	0.42	4,41,000	14.31
		19-10-2018 - Transfer	(26,000)	(0.84)	4,15,000	13.47
		26-10-2018 - Transfer	22,000	0.71	4,37,000	14.18
		16-11-2018 – Transfer	47,000	1.53	4,84,000	15.71
		30-11-2018 – Transfer	(3,000)	(0.10)	4,81,000	15.61
		14-12-2018 – Transfer	(53,000)	(1.72)	4,28,000	13.89
		21-12-2018 – Transfer	12,000	0.39	4,40,000	14.28
		28-12-2018 – Transfer	6,000	0.20	4,46,000	14.48
		31-12-2018 – Transfer	(30,000)	(0.97)	4,16,000	13.51
		04-01-2019 – Transfer	(6,000)	(0.20)	4,10,000	13.31
		11-01-2019 – Transfer	3,000	0.10	4,13,000	13.41
		18-01-2019 – Transfer	55,000	1.78	4,68,000	15.19
		25-01-2019 – Transfer	54,000	1.75	5,22,000	16.94
		01-02-2019 – Transfer	(68,000)	(2.20)	4,54,000	14.74
		08-02-2019 – Transfer	3,000	0.09	4,57,000	14.83
		22-02-2019 – Transfer	2,000	0.07	4,59,000	14.90
		01-03-2019 – Transfer	33,000	1.07	4,92,000	15.97
		22-03-2018 – Transfer	21,000	0.68	5,13,000	16.65
		29-03-2018 – Transfer	21,000	0.68	5,34,000	17.33
		30-03-2018 – Transfer	(98,000)	(3.18)	4,36,000	14.15
		At the end of the year	0	0	4,36,000	14.15
2	Shree Gajanan Paper And Boards Pvt Ltd	At the beginning of the year	57,000	1.85	57,000	1.85
		At the end of the year	0	0	57,000	1.85
3	Pritesh Mahendra Shah	At the beginning of the year	33,000	1.07	33,000	1.07
		At the end of the year	-	-	33,000	1.07
4	Gayatri Rathi	At the beginning of the year	33,000	1.07	33,000	1.07
		06-07-2018 – Transfer	(33,000)	(1.07)	0	0
		01-02-2019 – Transfer	33,000	1.07	33,000	1.07
		At the end of the year	-	-	33,000	1.07
5	Kirtikumar Jothlal Adesaria	At the beginning of the year	33,000	1.07	33,000	1.07
		13-04-2018 – Transfer	(33,000)	(1.07)	0	0
		27-07-2018 – Transfer	33,000	1.07	33,000	1.07
		24-08-2018 – Transfer	(3,000)	(0.10)	30,000	0.97
		26-10-2018 – Transfer	(30000)	(0.97)	0	0
		At the end of the year	-	-	-	-
6	Mayur Dinesh Shah	At the beginning of the year	30,000	0.97	30,000	0.97
		06-04-2018 – Transfer	(30,000)	(0.97)	-	-
		05-10-2018 – Transfer	1000	0.03	1000	0.03
		12-10-2018 – Transfer	(1000)	(0.03)	-	-
		19-10-2018 – Transfer	29,000	0.94	29,000	0.94
		14-12-2018 – Transfer	53,000	1.72	82,000	2.66



		28-12-2018 – Transfer	(33,000)	(1.07)	49,000	1.59
		18-01-2019 – Transfer	(49,000)	1.59	-	-
		At the end of the year	-	-	-	-
7	Gannayak Sales Private Limited	At the beginning of the year	30,000	0.97	30,000	0.97
		26-10-2018 – Transfer	(30,000)	(0.97)	-	-
		At the end of the year	-	-	-	-
8	Kalpana Rajmani Mishra	At the beginning of the year	27,000	0.88	27,000	0.88
		06-07-2018 – Transfer	(27,000)	(0.88)	-	-
		05-10-2018 – Transfer	27,000	0.88	27,000	0.88
		21-12-2018 – Transfer	(18,000)	(0.59)	9,000	0.29
		25-01-2019 – Transfer	(9000)	(0.29)	-	-
		At the end of the year	-	-	-	-
9	Ashok Toshniwal	At the beginning of the year	27,000	0.88	27,000	0.88
		30-06-2018 – Transfer	(15,000)	(0.49)	12,000	0.39
		24-08-2018 – Transfer	(12,000)	(0.39)	-	-
		29-08-2018 – Transfer	15,000	0.49	15,000	0.49
		14-09-2018 – Transfer	(15,000)	(0.49)	-	-
		At the end of the year	-	-	-	-
10	Neerajkumar Premnarayan Maheshwari	At the beginning of the year	3,000	0.10	3,000	0.10
		06-07-2018 – Transfer	21,000	0.68	24,000	0.78
		At the end of the year	-	-	24,000	0.78
11	Jaikishan Girdharilal Purohit	At the beginning of the year	21,000	0.68	21,000	0.68
		13-04-2018 – Transfer	(21,000)	(0.68)	-	-
		30-03-2019 – Transfer	5,000	0.16	5,000	0.16
		At the end of the year	-	-	5,000	0.16
12	Sunil Toshniwal (HUF)	At the beginning of the year	21,000	0.68	21,000	0.68
		13-04-2018 – Transfer	(21,000)	(0.68)	-	-
		31-12-2018 – Transfer	6,000	0.19	6,000	0.19
		04-01-2019 – Transfer	(6,000)	(0.19)	-	-
		At the end of the year	-	-	-	-
13	Lalita Maheshwari	At the beginning of the year	-	-	-	-
		30-03-2019 – Transfer	15,000	0.49	15,000	0.49
		At the end of the year	-	-	15,000	0.49
14	Dinesh Kumar Aroda	At the beginning of the year	-	-	-	-
		30-03-2019 – Transfer	15,000	0.49	15,000	0.49
		At the end of the year	-	-	15,000	0.49
15	Poonamchand Shamji Sheth	At the beginning of the year	-	-	-	-
		30-06-2018 – Transfer	15,000	0.49	15,000	0.49
		At the end of the year	-	-	15,000	0.49
16	Ketan Arvindbhai Shah	At the beginning of the year	12,000	0.39	12,000	0.39
		18-05-2018 – Transfer	(6,000)	(0.20)	6,000	0.19
		26-10-2018 – Transfer	(6,000)	(0.19)	-	-
		30-03-2019 – Transfer	15,000	0.49	15,000	0.49
		At the end of the year	-	-	15,000	0.49

(v) Shareholding of Directors and Key Managerial Personnel

Sl. No.	Name	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of Shares	% of total Shares of the company	No. of Shares	% of total Shares of the company



1	Balasubramanian Raman	At the beginning of the year	1168650	37.93		
		Change during the year	-	-	-	-
		At the end of the year	-	-	1168650	37.93
2	Nagalaxmi Balasubramanian	At the beginning of the year	989775	32.13		
		Change during the year	-	-	-	-
		At the end of the year	-	-	989775	32.13
3	Pritesh Mahendra Shah	At the beginning of the year	33000	1.07		
		Change during the year	-	-	-	-
		At the end of the year	-	-	33000	1.07
4	Lakshmi Ramnathan Iyer (Chief Financial Officer)	At the beginning of the year	1125	0.04		
		Change during the year	-	-	-	-
		At the end of the year	-	-	1125	0.04
5	Silkyben Bhikkhalal Shah (Company Secretary)	At the beginning of the year	-	-	-	-
		Change during the year	-	-	-	-
		At the end of the year	-	-	-	-

V. INDEBTEDNESS :

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	234695024	40000000	0	274695024
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	1187725	0	0	1187725
Total (i+ii+iii)	235882749	40000000	0	275882749
Change in Indebtedness during the financial year				
Addition	30925334	0	0	30925334
Reduction	0	0	0	0
Net Change	30925334	0	0	30925334
Indebtedness at the end of the financial year				
i) Principal Amount	265620358	40000000	0	305620358
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	396201	0	0	396201
Total (i+ii+iii)	266016559	40000000	0	306016559

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL :

A. Remuneration to Managing Director, Whole-time Directors and/or Manager

Sr. No	Particulars of Remuneration	Name of MD / WTD / Manager				Total Amount In Rs.
		Balasubramanian Raman	Nagalaxmi Balasubramanian	Pritesh Mahendra Shah	Manishkumar Bansnarayan Ray	
1	Gross Salary	--	--	--	--	--
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	4,80,000	4,80,000	11,50,000	7,00,000	28,10,000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	--	--	--	--	--



	Profits in lieu of salary under section 17(3) Income- tax Act, 1961	--	--	--	--	--
2	Stock Option	--	--	--	--	--
3	Sweet Equity	--	--	--	--	--
4	Other (Professional Services/ Software Solution)	9,20,000	10,40,000	--	--	19,60,000
5	Others, Please Specify	--	--	--	--	--
	Total (A)	14,00,000	15,20,000	11,50,000	7,00,000	47,70,000

B. Remuneration to other directors

SI. no.	Particulars of Remuneration	Shivkumar Janakiram Giddu	Umakant Kashinath Bijapur	Total Amount (In Rs.)
1	Independent Directors	--	--	--
	Fee for attending board committee meetings	100000	72000	172000
	Commission	--	--	--
	Others, please specify	--	--	--
	Total (1)	100000	72000	172000

2	Other Non-Executive Directors	Nil	Nil	Nil	Nil	Total Amount
	Fee for attending board committee meetings	--	--	--	--	--
	Commission	--	--	--	--	--
	Others, please specify (Salary)	--	--	--	--	--
	Total (2)	--	--	--	--	--
	Total (B)=(1+2)	--	--	--	--	--
	Total Managerial Remuneration					
	Overall Ceiling as per the Act	--	--	--	--	--

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

SI No.	Particulars of Remuneration	Key Managerial Personnel Total		Total
		Silkyben Shah (Company Secretary)	Lakshmi Ramanathan Iyer (CFO)	
1	Gross salary:			
(a)	Salary as per provisions contained in section 17(1) of the Income-tax Act,1961	155995	433982	589977
(b)	Value of perquisites u/s 17(2) Income-tax Act,1961	-	-	-
(c)	Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweet Equity	-	-	-
4	Commission-as % of profit-others, specify...	-	-	-
5	Others, please specify	-	-	-
	Total	155995	433982	589977

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES : NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty /Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)



A. COMPANY	
Penalty	NONE
Punishment	
Compounding	
B. DIRECTORS	
Penalty	NONE
Punishment	
Compounding	
C. OTHER OFFICERS IN DEFAULT	
Penalty	NONE
Punishment	
Compounding	

By order of the Board of Directors
BALASUBRAMANIAN RAMAN
 Managing Director
 DIN : 00410443

Date: 19-08-2019
 Place: Vapi