



## Form No. MGT-9

### EXTRACT OF ANNUAL RETURN

As on the financial year ended on 31/03/2021

[Pursuant to Section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014]

#### I. REGISTRATION AND OTHER DETAILS :

i) CIN	L51100GJ2005PLC046562
ii) Registration Date	05/08/2005
iii) Name of the Company	GAUTAM EXIM LIMITED
iv) Category / Sub-Category of the Company	Limited company Limited by shares Company having share capital
v) Address of the Registered office and contact details	C7/57 59 MIRGASIR COMPLEXOPP ADVANCE COMPLEX N, H NO 8 GIDC, VAPI - 396195 Gujarat  Telephone : 0260 2432998 Fax Number : 91-2602431988 Email : <a href="mailto:grpl1850@gmail.com">grpl1850@gmail.com</a> Web : <a href="http://www.gautamexim.com">www.gautamexim.com</a>
vi) Whether listed company	Listed(Yes) (SME Stock Exchange)
vii) Name, Address and Contact details of Registrar and Transfer Agent, if any	BIGSHARE SERVICES PRIVATE LIMITED 1st Floor, Bharat Tin Works Building, Opp. Vasant Oasis, Makwana Road, Marol, Andheri (East), Mumbai – 400 059

#### II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY :

All the business activities contributing 10 % or more of the total turnover of the company shall be stated:-

Sl. No.	Name and Description of main products / services	NIC Code of the Product/ service	% to total turnover of the company
1	Trading	5110	97.40

#### III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES :

S.NO	NAME AND ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/ SUBSIDIARY/ ASSOCIATE	% of shares held	Applicable Section
Nil					

#### IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity) :

##### i) Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
<b>A. Promoters</b>									
(1) Indian									
a) Individual/HUF	2158425	0	2158425	70.06	2158425	0	2158425	70.06	0
b) Central Govt	0	0	0	0	0	0	0	0	0
c) State Govt (s)	0	0	0	0	0	0	0	0	0
d) Bodies Corp.	0	0	0	0	0	0	0	0	0
e) Banks / FI	0	0	0	0	0	0	0	0	0



f) Any Other....	88200	0	88200	2.86	88200	0	88200	2.86	0
<b>Sub-total (A) (1):-</b>	<b>2246625</b>	<b>0</b>	<b>2246625</b>	<b>72.92</b>	<b>2246625</b>	<b>0</b>	<b>2246625</b>	<b>72.92</b>	<b>0</b>
(2) Foreign	0	0	0	0	0	0	0	0	0
a) NRIs - Individuals	0	0	0	0	0	0	0	0	0
b) Other – Individuals	0	0	0	0	0	0	0	0	0
c) Bodies Corp.	0	0	0	0	0	0	0	0	0
d) Banks / FI	0	0	0	0	0	0	0	0	0
e) Any Other....	0	0	0	0	0	0	0	0	0
<b>Sub-total (A) (2):-</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Total shareholding of Promoter (A) = (A)(1)+(A)(2)</b>	<b>2246625</b>	<b>0</b>	<b>2246625</b>	<b>72.92</b>	<b>2246625</b>	<b>0</b>	<b>2246625</b>	<b>72.92</b>	<b>0</b>
<b>B. Public Shareholding</b>	0	0	0	0	0	0	0	0	0
1. Institutions	0	0	0	0	0	0	0	0	0
a) Mutual Funds	0	0	0	0	0	0	0	0	0
b) Banks / FI	0	0	0	0	0	0	0	0	0
c) Central Govt	0	0	0	0	0	0	0	0	0
d) State Govt(s)	0	0	0	0	0	0	0	0	0
e) Venture Capital Funds	0	0	0	0	0	0	0	0	0
f) Insurance Companies	0	0	0	0	0	0	0	0	0
g) FIIs	0	0	0	0	0	0	0	0	0
h) Foreign Venture Capital Funds	0	0	0	0	0	0	0	0	0
i) Others (specify)	0	0	0	0	0	0	0	0	0
<b>Sub-total (B)(1):-</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
2. Non-Institutions	0	0	0	0	0	0	0	0	0
a) Bodies Corp.									
i) Indian	648000	0	648000	21.03	303000	0	303000	9.83	-11.20
ii) Overseas	0	0	0	0	0	0	0	0	0
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	102375	0	102375	3.32	135375	0	135375	4.39	1.07
ii) Individual shareholders holding nominal share capital in excess of Rs 1 lakh "	84000	0	84000	2.73	348000	0	348000	11.30	8.57
c) Others (specify)-HUF	0	0	0	0	48000	0	48000	1.56	+1.56
<b>Sub-total (B)(2):-</b>	<b>834375</b>	<b>0</b>	<b>834375</b>	<b>27.08</b>	<b>834375</b>	<b>0</b>	<b>834375</b>	<b>27.08</b>	<b>0</b>
<b>Total Public Shareholding (B)=(B)(1)+(B)(2)</b>	<b>834375</b>	<b>0</b>	<b>834375</b>	<b>27.08</b>	<b>834375</b>	<b>0</b>	<b>834375</b>	<b>27.08</b>	<b>0</b>
<b>C. Shares held by Custodian for GDRs &amp; ADRs</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Grand Total (A+B+C)</b>	<b>3081000</b>	<b>0</b>	<b>3081000</b>	<b>100.00</b>	<b>3081000</b>	<b>0</b>	<b>3081000</b>	<b>100.00</b>	<b>0</b>



(ii) Shareholding of Promoters

Sl No.	Shareholder's Name	Shareholding at the beginning of the year			Share holding at the end of the year			
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	% change in share holding during the year
1	Balasubramanian Raman	1168650	37.93	0	1168650	37.93	30.00	0
2	Nagalaxmi Balasubramanian	989775	32.13	0	989775	32.13	0	0
3	Gautam Balasubramanian	44100	1.43	0	44100	1.43	0	0
4	Rajlaxmi Balasubramanian	44100	1.43	0	44100	1.43	0	0

(iii) Change in Promoters' Shareholding ( please specify, if there is no change)

Sl. No.	Name	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of Shares	% of total Shares of the company	No. of Shares	% of total Shares of the company
1	Balasubramanian Raman	At the beginning of the year	1168650	37.93	-	-
		At the End of the year	-	-	1168650	37.93
2	Nagalaxmi Balasubramanian	At the beginning of the year	989775	32.12	-	-
		At the End of the year	-	-	989775	32.12
3	Gautam Balasubramanian	At the beginning of the year	44100	1.43	-	-
		At the End of the year	-	-	44100	1.43
4	Rajlaxmi Balasubramanian	At the beginning of the year	44100	1.43	-	-
		At the End of the year	-	-	44100	1.43

(iv) Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

Sl. No.	Name	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of Shares	% of total Shares of the company	No. of Shares	% of total Shares of the company
1	Pantomath Stock Brokers Private Limited	At the beginning of the year	585000	18.99	585000	18.99
		10-04-2020 - Transfer	(3000)	(0.10)	582000	18.89
		17-04-2020 - Transfer	9000	0.29	591000	19.18
		08-05-2020 - Transfer	(3000)	(0.10)	588000	19.08
		22-05-2020 - Transfer	(15000)	(0.49)	573000	18.60
		29-05-2020 - Transfer	(30000)	(0.97)	543000	17.62
		31-07-2020 - Transfer	(3000)	(0.10)	540000	17.53
		07-08-2020 - Transfer	(3000)	(0.10)	537000	17.43
		14-08-2020 - Transfer	(9000)	(0.29)	528000	17.14
		04-09-2020 - Transfer	(102000)	(3.31)	426000	13.83
		11-09-2020 - Transfer	(42000)	(1.36)	384000	12.46
		18-09-2020 - Transfer	(90000)	(2.92)	294000	9.54
25-09-2020 - Transfer	(48000)	(1.56)	246000	7.98		



		02-10-2020 – Transfer	(6000)	(0.19)	240000	7.79
		06-11-2020 – Transfer	(12000)	(0.39)	228000	7.40
		13-11-2020 - Transfer	(48000)	(1.56)	180000	5.84
		20-11-2020 – Transfer	3000	0.10	183000	5.94
		27-11-2020 – Transfer	(6000)	(0.19)	177000	5.74
		04-12-2020 – Transfer	(3000)	(0.10)	174000	5.65
		18-12-2020 – Transfer	3000	0.10	177000	5.74
		15-01-2021 – Transfer	(54000)	(1.75)	123000	3.99
		22-01-2021 – Transfer	29000	0.94	152000	4.93
		29-01-2021 - Transfer	(44000)	(1.43)	108000	3.51
		05-02-2021 - Transfer	75000	2.43	183000	5.94
		12-02-2021 – Transfer	(126000)	(4.09)	57000	1.85
		19-02-2021 – Transfer	45000	1.46	102000	3.31
		26-02-2021 – Transfer	(45000)	(1.46)	57000	1.85
		19-03-2021 – Transfer	12000	0.39	69000	2.24
		26-03-2021 – Transfer	3000	0.10	72000	2.34
		At the end of the year	0	0	72000	2.34
2	Pantomath Finance Private Limited	At the beginning of the year	0	0	0	0
		22-05-2020 – Transfer	15000	0.49	15000	0.49
		29-05-2020 – Transfer	30000	0.97	45000	1.46
		29-01-2021 - Transfer	45000	1.46	90000	2.92
		12-02-2021 – Transfer	12000	0.39	102000	3.31
		At the end of the year	0	0	102000	3.31
3	Rupal Shah	At the beginning of the year	0	0	0	0
		04-09-2020 – Transfer	30000	0.97	30000	0.97
		22-01-2021 – Transfer	(30000)	(0.97)	0	0.00
		29-01-2021 - Transfer	30000	0.97	30000	0.97
		12-02-2021 – Transfer	39000	1.27	69000	2.24
		At the end of the year	0	0	69000	2.24
4	Shree Gajanan Paper And Boards Pvt Ltd	At the beginning of the year	57,000	1.85	57,000	1.85
		At the end of the year	0	0	57,000	1.85
5	Nisharg Shah	At the beginning of the year	0	0	0	0
		18-09-2020 – Transfer	45000	1.46	45000	1.46
		22-01-2021 – Transfer	(45000)	(1.46)	0	0
		05-02-2021 - Transfer	6000	0.19	6000	0.19
		26-02-2021 – Transfer	45000	1.46	51000	1.66
		At the end of the year	0	0	51000	1.66
6	Urmila Kishor Vora	At the beginning of the year	0	0	0	0
		15-01-2021 – Transfer	15000	0.49	15000	0.49
		22-01-2021 – Transfer	(15000)	(0.49)	0	0.00
		12-02-2021 - Transfer	42000	1.36	42000	1.36
		At the end of the year	0	0	42000	1.36
7	Ketan Arvindbhai Shah	At the beginning of the year	0	0	0	0
		11-09-2020 – Transfer	33000	1.07	33000	1.07
		05-02-2021 – Transfer	6000	0.19	39000	1.27
		12-02-2021 - Transfer	3000	0.10	42000	1.36
		At the end of the year	0	0	42000	1.36
8	Vikram Navalchand Shah	At the beginning of the year	6000	0.19	6000	0.19
		31-07-2020 – Transfer	3000	0.10	9000	0.29
		07-08-2020 – Transfer	(3000)	(0.10)	6000	0.19
		15-01-2021 – Transfer	3000	0.10	9000	0.29
		22-01-2021 – Transfer	(3,000)	(0.10)	6,000	0.19
		12-02-2021 – Transfer	30000	0.97	36,000	1.17
		At the end of the year	-	-	36,000	1.17
9	Gayatri Pipes And Fittings Private Limited	At the beginning of the year	0	0	0	0
		15-01-2021 – Transfer	36000	1.17	36000	1.17
		At the end of the year	-	-	36000	1.17
10	Pritesh Mahendra Shah	At the beginning of the year	33,000	1.07	33,000	1.07
		At the end of the year	-	-	33,000	1.07



(v) Shareholding of Directors and Key Managerial Personnel

Sl. No.	Name	Particulars	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
			No. of Shares	% of total Shares of the company	No. of Shares	% of total Shares of the company
1	Balasubramanian Raman	At the beginning of the year	1168650	37.93		
		Change during the year	-	-	-	-
		At the end of the year	-	-	1168650	37.93
2	Nagalaxmi Balasubramanian	At the beginning of the year	989775	32.13		
		Change during the year	-	-	-	-
		At the end of the year	-	-	989775	32.13
3	Silkyben Bhikhalal Shah (Company Secretary)	At the beginning of the year	-	-	-	-
		Change during the year	-	-	-	-
		At the end of the year	-	-	-	-

V. INDEBTEDNESS :

Indebtedness of the Company including interest outstanding/accrued but not due for payment

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
<b>Indebtedness at the beginning of the financial year</b>				
i) Principal Amount	260933403	46379064	0	307312467
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	1208820	0	0	1208820
<b>Total (i+ii+iii)</b>	<b>262142223</b>	<b>46379064</b>	<b>0</b>	<b>308521287</b>
<b>Change in Indebtedness during the financial year</b>				
Addition	0	13292337	0	13292337
Reduction	(96121194)	0	0	(96121194)
Net Change	<b>(96121194)</b>	<b>12220936</b>	<b>0</b>	<b>(82828857)</b>
<b>Indebtedness at the end of the financial year</b>				
i) Principal Amount	166021029	59671401	0	225692430
ii) Interest due but not paid	0	0	0	0
iii) Interest accrued but not due	0	0	0	0
<b>Total (i+ii+iii)</b>	<b>166021029</b>	<b>59671401</b>	<b>0</b>	<b>225692430</b>

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL :

A. Remuneration to Managing Director, Whole-time Directors and/or Manager

Sr . No .	Particulars of Remuneration	Name of MD / WTD / Manager			Total Amount In Rs.
	Name Designation	Balasubramanian Raman MD/CFO	Nagalaxmi Balasubramanian WTD	Manishkumar Bansnarayan Ray WTD	
1	Gross Salary	--	--	--	--



	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	9,00,000	4,80,000	15,00,000	28,80,000
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	--	--	--	--
	Profits in lieu of salary under section 17(3) Income- tax Act, 1961	--	--	--	--
2	Stock Option	--	--	--	--
3	Sweet Equity	--	--	--	--
4	Other (Professional Services/ Software Solution)	--	--	--	--
5	Others, Please Specify	--	--	--	--
	<b>Total (A)</b>	<b>9,00,000</b>	<b>4,80,000</b>	<b>15,00,000</b>	<b>28,80,000</b>

#### B. Remuneration to other directors

SI. no.	Particulars of Remuneration	Shivkumar Janakiram Giddu	Umakant Kashinath Bijapur	Total Amount (In Rs.)
1	<b>Independent Directors</b>	--	--	--
	Fee for attending board committee meetings	72,000	72,000	1,44,000
	Commission	--	--	--
	Others, please specify	--	--	--
	<b>Total (1)</b>	<b>72,000</b>	<b>72,000</b>	<b>1,44,000</b>

2	Other Non-Executive Directors	Nil	Nil	Nil	Nil	Total Amount
	Fee for attending board committee meetings	--	--	--	--	--
	Commission	--	--	--	--	--
	Others, please specify (Salary)	--	--	--	--	--
	<b>Total (2)</b>	--	--	--	--	--
	Total (B)=(1+2)	--	--	--	--	--
	<b>Total Managerial Remuneration</b>					
	Overall Ceiling as per the Act	--	--	--	--	--

#### C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

SI No.	Particulars of Remuneration	Key Managerial Personnel	Total
		Silkyben Shah (Company Secretary)	
1	Gross salary:		
(a)	Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	1,90,000	1,90,000
(b)	Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
(c)	Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweet Equity	-	-
4	Commission-as % of profit-others, specify...	-	-
5	Others, please specify	-	-
	<b>Total</b>	<b>1,90,000</b>	<b>1,90,000</b>



## VI. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES : NIL

Type	Section of the Companies Act	Brief Description	Details of Penalty /Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
<b>A. COMPANY</b>					
Penalty					
Punishment			NONE		
Compounding					
<b>B. DIRECTORS</b>					
Penalty					
Punishment			NONE		
Compounding					
<b>C. OTHER OFFICERS</b>					
Penalty					
Punishment			NONE		
Compounding					

By order of the Board of Directors  
**BALASUBRAMANIAN RAMAN**  
Managing Director  
DIN: 00410443

Date: 15/07/2021  
Place: Vapi

