

<b>General information about company</b>	
Scrip code	540613
Name of the company	GAUTAM EXIM LIMITED
Whether company is SME	Yes
Class of Security	Equity Shares
Type of report	Half yearly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	<b>Particular</b>	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

<b>Table I - Summary Statement holding of specified securities</b>											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	2246625			2246625	72.92	2246625		2246625	72.92
(B)	Public	78	834375			834375	27.08	834375		834375	27.08
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	82	3081000			3081000	100	3081000		3081000	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				72.92	2246625	100			2246625
(B)	Public				27.08	3375	0.4			834375
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	2250000	73.03			3081000

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	2	2158425			2158425	70.06	2158425		2158425	70.06
(d)	Any Other (specify)	2	88200			88200	2.86	88200		88200	2.86
Sub-Total (A)(1)		4	2246625			2246625	72.92	2246625		2246625	72.92
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	2246625			2246625	72.92	2246625		2246625	72.92
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	62	294375			294375	9.55	294375		294375	9.55
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	13	366000			366000	11.88	366000		366000	11.88
(e)	Any Other (specify)	3	174000			174000	5.65	174000		174000	5.65
Sub-Total (B)(3)		78	834375			834375	27.08	834375		834375	27.08
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		78	834375			834375	27.08	834375		834375	27.08
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		82	3081000			3081000	100	3081000		3081000	100
Total (A+B+C)		82	3081000			3081000	100	3081000		3081000	100

<b>Table II - Statement showing shareholding pattern of the Promoter and Promoter Group</b>									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				70.06	2158425	100			2158425
(d)				2.86	88200	100			88200
Sub-Total (A)(1)				72.92	2246625	100			2246625
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				72.92	2246625	100			2246625
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
( 3 )	Non-institutions								
(a(i))				9.55	3375	1.15			294375
(a(ii))				11.88	0	0			366000
(e)				5.65	0	0			174000
Sub-Total (B)(3)				27.08	3375	0.4			834375
Total Public Shareholding (B)=(B) (1)+(B) (2)+(B)(3)				27.08	3375	0.4			834375
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total ( A+B+C2 )				100	2250000	73.03			3081000
Total (A+B+C )				100	2250000	73.03			3081000

<b>Individuals/Hindu undivided Family</b>			
Searial No.	1	2	
Name of the Shareholders (I)	BALASUBRAMANIAN RAMAN	NAGALAXMI RAMAN BALASUBRAMANIAN	<a href="#">Click here to go back</a>
PAN (II)	ABEPR9439B	AEGPB3803G	Total
No. of fully paid up equity shares held (IV)	1168650	989775	2158425
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1168650	989775	2158425
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	37.93	32.13	70.06
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg:X	1168650	989775	2158425
Class eg:y			
Total	1168650	989775	2158425
Total as a % of Total Voting rights	37.93	32.13	70.06
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	37.93	32.13	70.06
<b>Number of Locked in shares (XII)</b>			
No. (a)	1168650	989775	2158425
As a % of total Shares held (b)	100	100	100
<b>Number of Shares pledged or otherwise encumbered (XIII)</b>			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1168650	989775	2158425

Reason for not providing PAN			
Reason for not providing PAN			

Any Other (specify)			
Searial No.	1	2	
Category	Director or Director's Relatives	Director or Director's Relatives	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	GAUTAM RAM BALASUBRAMANIAN	RAJALAKSHMI GAUTAM	
PAN (II)	AFHPB0806K	AIOPG6901A	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	44100	44100	88200
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	44100	44100	88200
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.43	1.43	2.86
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	44100	44100	88200
Class eg:y			
Total	44100	44100	88200
Total as a % of Total Voting rights	1.43	1.43	2.86
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.43	1.43	2.86
Number of Locked in shares (XII)			
No. (a)	44100	44100	88200
As a % of total Shares held (b)	100	100	100
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			

As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	44100	44100	88200
Reason for not providing PAN			

<b>Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.</b>				
Searial No.	1	2	3	
Name of the Shareholders (I)	GAYATRI RATHI	KIRTIKUMAR JOTHALAL ADESARIA	LATA LATHIGRA	<a href="#">Click here to go back</a>
PAN (II)	AMTPR2710H	AAYP2515Q	AESPL8935P	Total
No. of fully paid up equity shares held (IV)	33000	33000	33000	99000
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	33000	33000	33000	99000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.07	1.07	1.07	3.21
<b>Number of Voting Rights held in each class of securities (IX)</b>				
Class eg: X	33000	33000	33000	99000
Class eg:y				
Total	33000	33000	33000	99000
Total as a % of Total Voting rights	1.07	1.07	1.07	3.21
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.07	1.07	1.07	3.21
<b>Number of Locked in shares (XII)</b>				
No. (a)	0	0	0	0
As a % of total Shares held (b)	0	0	0	0
Number of equity shares held in dematerialized form (XIV)	33000	33000	33000	99000
<b>Reason for not providing PAN</b>				
Reason for not providing PAN				

Any Other (specify)			
Searial No.	1	2	
Category	Bodies Corporate	Clearing Members	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	SHREE GAJANAN PAPER AND BOARDS PVT LTD	PANTOMATH STOCK BROKERS PRIVATE LIMITED	Click here to go back
PAN (II)	AADCS4411R	AAHCP6713F	Total
No. of the Shareholders (I)	1	1	0
No. of fully paid up equity shares held (IV)	111000	48000	0
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	111000	48000	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	3.6	1.56	0
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	111000	48000	0
Class eg:y			
Total	111000	48000	0
Total as a % of Total Voting rights	3.6	1.56	0
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	3.6	1.56	0
Number of Locked in shares (XII)			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of equity shares held in dematerialized	111000	48000	0

form (XIV)			
Reason for not providing PAN			
Reason for not providing PAN			

