

### General information about company

Scrip code	540613
NSE Symbol	
MSEI Symbol	
ISIN	INE721X01015
Name of the company	GAUTAM EXIM LIMITED
Whether company is SME	Yes
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-12-2019
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	Yes	Yes	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of (A+B+C)
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	4	2246625			2246625	72.92	2246625		2246625	72.92
(B)	Public	42	834375			834375	27.08	834375		834375	27.08
(C)	Non Promoter- Non Public										
(C1)	Shares underlying DRs										

(C2)	Shares held by Employee Trusts										
	Total	46	3081000			3081000	100		3081000		3081000

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				72.92	621000	27.64			2246625
(B)	Public				27.08					834375
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100	621000	20.16			3081000

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Total as a % of Total Voting rights
								No of Voting (XIV) Rights			
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	2	2158425			2158425	70.06	2158425		2158425	70.06
(d)	Any Other (specify)	2	88200			88200	2.86	88200		88200	2.86
	Sub-Total (A)(1)	4	2246625			2246625	72.92	2246625		2246625	72.92
(2)	Foreign										
	Total Shareholding of										

Promoter and Promoter Group (A)=(A)(1)+(A)(2)		4	2246625			2246625	72.92	2246625		2246625	72.92
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
( 3 )	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	36	135375			135375	4.39	135375		135375	4.39
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	2	57000			57000	1.85	57000		57000	1.85
(e)	Any Other (specify)	4	642000			642000	20.84	642000		642000	20.84
Sub-Total (B)(3)		42	834375			834375	27.08	834375		834375	27.08
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		42	834375			834375	27.08	834375		834375	27.08
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total ( A+B+C2 )		46	3081000			3081000	100	3081000		3081000	100
Total (A+B+C )		46	3081000			3081000	100	3081000		3081000	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
(1)	Indian									
(a)				70.06	621000	28.77			2158425	
(d)				2.86	0	0			88200	
Sub-Total (A)(1)				72.92	621000	27.64			2246625	
(2)	Foreign									
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				72.92	621000	27.64			2246625	
B	Table III - Statement showing shareholding pattern of the Public shareholder									
(1)	Institutions									
( 3 )	Non-institutions									
(a(i))				4.39					135375	

(a(ii))				1.85				57000
(e)				20.84				642000
Sub-Total (B)(3)				27.08				834375
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				27.08				834375
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder							
Total ( A+B+C2 )				100	621000	20.16		3081000
Total (A+B+C )				100	621000	20.16		3081000

<b>Individuals/Hindu undivided Family</b>			
Searial No.	1	2	
Name of the Shareholders (I)	BALASUBRAMANIAN RAMAN	NAGALAXMI RAMAN BALASUBRAMANIAN	<a href="#">Click here to go back</a>
PAN (II)	ABEPR9439B	AEGPB3803G	Total
No. of fully paid up equity shares held (IV)	1168650	989775	2158425
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1168650	989775	2158425
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	37.93	32.13	70.06
<b>Number of Voting Rights held in each class of securities (IX)</b>			
Class eg:X	1168650	989775	2158425
Class eg:y			
Total	1168650	989775	2158425
Total as a % of Total Voting rights	37.93	32.13	70.06
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No.			

Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	37.93	32.13	70.06
Number of Locked in shares (XII)			
No. (a)	621000	0	621000
As a % of total Shares held (b)	53.14	0	28.77
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	1168650	989775	2158425
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type			

Any Other (specify)			
Searial No.	1	2	
Category	Director or Director's Relatives	Director or Director's Relatives	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	GAUTAM RAM BALASUBRAMANIAN	RAJALAKSHMI GAUTAM	
PAN (II)	AFHPB0806K	AIOPG6901A	Total
No. of the Shareholders (I)	1	1	2
No. of fully paid up equity shares held (IV)	44100	44100	88200
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+(V)+ (VI)	44100	44100	88200
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a	1.43	1.43	2.86

% of (A+B+C2)			
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	44100	44100	88200
Class eg:y			
Total	44100	44100	88200
Total as a % of Total Voting rights	1.43	1.43	2.86
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.43	1.43	2.86
Number of Locked in shares (XII)			
No. (a)	0	0	0
As a % of total Shares held (b)	0	0	0
Number of Shares pledged or otherwise encumbered (XIII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	44100	44100	88200
Reason for not providing PAN			
Reason for not providing PAN			
Shareholder type			

**Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.**

Searial No.	1	
Name of the Shareholders (I)	PRITESH MAHENDRA SHAH	<a href="#">Click here to go back</a>
PAN (II)	AYZPS1516N	Total

No. of fully paid up equity shares held (IV)	33000	33000
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	33000	33000
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.07	1.07
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	33000	33000
Class eg:y		
Total	33000	33000
Total as a % of Total Voting rights	1.07	1.07
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.07	1.07
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	33000	33000
Reason for not providing PAN		

Reason for not providing PAN		
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Any Other (specify)				
Serial No.	1	2	3	
Category	Bodies Corporate	Bodies Corporate	Clearing Members	
Category / More than 1 percentage	More than 1 percentage of shareholding	More than 1 percentage of shareholding	More than 1 percentage of shareholding	
Name of the Shareholders (I)	SHREE GAJANAN PAPER AND BOARDS PVT LTD	SIKRI FINLEASE PRIVATE LIMITED	PANTOMATH STOCK BROKERS PRIVATE LIMITED	<a href="#">Click here to go back</a>
PAN (II)	AADCS4411R	AAGCS9210F	AAHCP6713F	Total
No. of the Shareholders (I)	1	1	1	0
No. of fully paid up equity shares held (IV)	57000	36000	546000	0
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	57000	36000	546000	0
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.85	1.17	17.72	0
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	57000	36000	546000	0
Class eg:y				
Total	57000	36000	546000	0
Total as a % of Total Voting rights	1.85	1.17	17.72	0
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full				



conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.85	1.17	17.72	0
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	57000	36000	546000	0
Reason for not providing PAN				
Reason for not providing PAN				

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect\*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence